

# Investment Forms: Client Onboarding & Risk Assessment

## Section 1: Client Information

Full Name

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Date of Birth

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Residential Address

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Phone Number

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Email Address

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Employment Status

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Occupation

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Annual Income Range

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Net Worth Estimate

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## Section 2: Financial Goals

What are your primary financial goals? (e.g., retirement, education, wealth growth)

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What is your investment time horizon? (Short-term, Medium-term, Long-term)

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What major financial milestones are you planning for?

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Do you anticipate any large withdrawals within the next 3–5 years?

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### **Section 3: Risk Assessment Questionnaire**

How would you describe your investment knowledge? (Limited, Moderate, Extensive)

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How would you react if your portfolio declined by 10% in a short period?

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What level of investment risk are you comfortable taking? (Low, Moderate, High)

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How important is capital preservation compared to growth?

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How stable is your current income source?

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### **Section 4: Investment Preferences**

Preferred investment types (e.g., stocks, bonds, mutual funds, ETFs)

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Do you prefer income generation or capital appreciation?

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Are there any industries or sectors you prefer or wish to avoid?

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Do you have any liquidity requirements?

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### **Section 5: Client Declaration**

I confirm that the information provided in this form is accurate to the best of my knowledge. I understand that investment decisions involve risk and that past performance does not guarantee future results.

Client Signature

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Date

